Better Cotton Assessment Report Template – 3PV version

For use with v2.1 of the Better Cotton P&C

Large Farms

# Introduction

This reporting template is required for each Licensing Assessment (LA) carried out on Large Farms. Better Cotton has developed an optional *Better Cotton Assessment Field Checklist* which may also be used to help guide the assessment process and record notes in the field.

For detailed guidance on the assessment of Principle 6 Decent Work, please refer to a separate [Decent Work Assessment Guidance Pack](https://bettercottoninitiative.sharepoint.com/:f:/s/CountryProgrammes/EuceVaXS1aRKsyEt9IBEfGMByhu0Yk91ckrch23Mn0bdfg?e=QRtJNJ) developed by Better Cotton, which includes indicator level guidance on an expanded set of indicators under Core Indicator 6.3.1 on forced labour, farmer and worker interview guides and standard operating procedures for incidents/risks of forced labour.

## Types of Indicators

This reporting template covers all **Core Indicators** from the Better Cotton P&C v2.1, organized under the 7 Principles.

## Advice on navigating this document

Please turn on the ‘Navigation Pane’ (under ‘View’ menu) to allow easy referencing of Indicators under each Principle.

# Summary Information

**NOTE: Once you have completed the entire form, please save as a PDF using the assessment reference number as the file name.**

## 1. General Information about the Licensing Assessment

Season of assessment: Select season

Reference Number

*(Format: Country code\_Assessment type\_PU/LF code\_Organisation conducting visit, if Better Cotton enter “BCI assessor”, e.g.* ***IN\_LA\_3PV\_USCA01\_BCI assessor\_2023-24****)*

Implementing Partner (if applicable):

Verification Conducted by: Lead verifier:       Additional verifier(s):

Agency/Institution:       Telephone:       Email:

Translation/interpretation: Name of translator/interpreter:       Translation/interpretation company:

Verification Date:       Country:       State:       GPS Coordinates of Farm:

Format of the Assessment?  On-site  Remote  Hybrid

Name of Farmer(s) visited:

**2. Summary Outcome of the Visit**

**Summary of key findings (strengths and challenges) based on Assessor’s observations:**

|  |
| --- |
|  |

**Overall outcome of Assessment based on Assessor’s observations:**

Compliant: *assessor has observed compliance on all indicators*

Compliant with Incidental: *assessor has observed at least one incidental non-conformity and no systemic non-conformity*

Systemic Non-Conformity: *assessor has observed at least one systemic non-conformity*

**Escalation of incidental non-conformities:**

*Note that under Better Cotton assurance procedures, incidental non-conformities (NC) that re-occur at the next assessment can be escalated to Systemic non-conformities by the Better Cotton Assurance team, unless there is clear evidence that the PU has made significant progress to address the issue, or the NC relates to a separate finding (under the same indicator).*

Are there incidental non-conformities observed also found in previous assessments on the same PU?  Yes  No

Does assessor recommend escalation of at least one incidental non-conformity to systemic non-conformity?  Yes  No

**List of all non-conformities:**

*Please list all non-conformities below and indicate the non-conformity grading as per assessor’s observations. If any incidental non-conformities are recurrent from previous assessments on the same PU and assessor recommends escalation, please also tick option “Escalate incidental to systemic NC” under Comments.*

| **Indicator** | **Description of Non-Conformity** | **Non-Conformity Grading** | **Comments** |
| --- | --- | --- | --- |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |
|  |  | Incidental  Systemic | Escalate incidental to systemic NC |

Does the farm have 50 or more workers? (including permanent, seasonal, migrant, sub-contracted)  Yes  No

Any additional comments:

**3. Information collected from external sources**

Please detail contact information for individuals/organizations consulted, and information gathered that relates to the Better Cotton Principles and Criteria and/or farmers that have poor or good practices.

Source 1 Name:       Contact Info:       Info gathered:

Source 2 Name:       Contact Info:       Info gathered:

Source 3 Name:       Contact Info:       Info gathered:

# P1: Crop Protection

| **Indicator No.** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core**  **1.1.2** An Integrated Pest Management Programme is implemented that includes all the following components:  (i) growing of a healthy crop;  (ii) preventing the build-up of pest populations and of the spread of disease;  (iii) preserving and enhancing populations of beneficial organisms;  (iv) regular field observations of the crop’s health and key pest and beneficial insects;  (v) managing resistance. | Select grading |  |
| **Core**  **1.1.4** There is no calendar or random spraying. | Select grading |  |
| **Core**  **1.2.1** All pesticides used are registered nationally for the use on cotton. | Select grading |  |
| **Core**  **1.2.2** All pesticides used are correctly labelled in at least one *de facto* or *de jure* official national or applicable official regional language. | Select grading |  |
| **Core**  **1.3.1** Pesticides listed in: (i) Annex A and B of the Stockholm Convention; or (ii) Annexes of the Montreal Protocol; or (iii) Annex III of the Rotterdam Convention; are not used. | Select grading |  |
| ***Core***  **1.4.1** The Producer has a plan to phase out by 2021 pesticides listed in category 1 of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS); 1a of the World Health Organization classification (WHO). | Select grading |  |
| **Core**  **1.4.2** The Producer has a plan to phase out by 2024 pesticides listed in category 2 of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS); 1b of the World Health Organization classification (WHO). | Select grading |  |
| **Core**  **1.5.1** The Producer has a plan to phase out Pesticides defined as carcinogenic, mutagenic or reprotoxic (CMR) substances according to Categories 1a and 1b of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS). |  |  |
| **Core**  **1.6.1** The Producer must ensure that any person who prepares and applies pesticides is: (i) Healthy; (ii) Skilled and trained in the application of pesticides; (iii) 18 or older; (iv) not pregnant or nursing. | Select grading |  |
| **Core**  **1.7.1** Pesticides are prepared and applied by persons who correctly use appropriate protective and safety equipment. | Select grading | * ***How does the farm check whether protective equipment is actually used in practice?*** * ***How was this verified with farmers or workers who handle or spray pesticides?*** |
| **Core**  **1.7.3** Pesticide labels are checked regularly (at least every spray season) to ensure that the appropriate Personal Protective Equipment is available for the pesticides being used. | Select grading |  |
| **Core**  **1.7.4** Training on safe work procedures and the maintenance, use and proper storage of Personal Protective Equipment has been delivered to all staff who work with pesticides. | Select grading |  |
| **Core**  **1.8.1** Dedicated areas must be available on the farm for storing, mixing and handling pesticides, and for cleaning pesticide containers and application equipment. The areas must fully comply with relevant legislation for the storage, handing and disposal of pesticides. Within these areas, all rinsate and run-off must be completely captured so that it poses no contamination risk. | Select grading |  |

# P2: Water Stewardship

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core**  **2.1.1** A time-bound Water Stewardship Plan is defined that addresses each of the following components: (i) Mapping and understanding of water resources; (ii) Managing soil moisture; (iii) Applying efficient irrigation practices to optimise water productivity (applicable to irrigation farms only); (iv) Managing water quality; (v) Engaging in collaboration and collective action to promote sustainable water use. | Select grading | * ***Is the plan realistic and locally-relevant?*** * ***Are activities implemented as per the plan?*** |
| **Core**  **2.1.3** Water resources are identified, mapped and understood. | Select grading |  |
| **Core**  **2.1.4** Soil moisture management practices to reduce soil water evaporation are implemented, as per the Water Stewardship Plan*.* | Select grading |  |
| **Core**  **2.1.5** Irrigation methods and technologies are implemented towards irrigation efficiency as per the Water Stewardship Plan (applicable to irrigated farms only). | Select grading |  |
| **Core**  **2.1.6** Irrigation timing is planned towards maximisation of water productivity (applicable to irrigated farms only). | Select grading |  |
| **Core**  **2.1.7** Irrigation is not conducted on a rigid predetermined calendar schedule (applicable to irrigated farms only). | Select grading |  |
| **Core**  **2.1.8** Risk to water quality is considered when managing and applying nutrients and pesticides as per the Water Stewardship Plan. | Select grading |  |
| **Core**  **2.1.9** Opportunities for collaboration and collective actions (beyond the Producer’s unit of production) to achieve sustainable water use are identified. | Select grading |  |
| **Core**  **2.1.10** By March 2022, collaboration and collective actions (beyond the Producer’s unit of production) towards local sustainable use of water are implemented as per opportunities identified in the Water Stewardship Plan. | Select grading |  |

# P3: Soil Management

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core 3.1.1** A time-bound soil management plan is defined that addresses each of the following components:  (i) Identifying and analysing soil type;  (ii) Maintaining and enhancing soil structure; (iii) Maintaining and enhancing soil fertility;  (iv) Continuously improving nutrient cycling. | Select grading | * ***Is the plan realistic and locally-relevant?*** * ***Are activities implemented as per the plan?*** |
| **Core 3.1.5** Soil testing that includes NPK and pH analysis to determine the level of nutrients and acidity in the soil is conducted at least once every 5 years. | Select grading | * ***If soil testing has been carried out, is the farmer/ farm manager knowledgeable about how to use the results?*** |
| **Core**  **3.1.6** Soil type is identified and mapped. | Select grading |  |

# P4: Biodiversity and land use

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core**  **4.1.1** A time-bound Biodiversity Management Plan that addresses each of the five following components, is defined: (i) Identifying and mapping biodiversity resources; (i) Identifying and restoring degraded areas; (iii) Enhancing populations of beneficial insects, as per the Integrated Pest Management plan (Principle 1); (iv) Ensuring crop rotation; (v) Protecting riparian areas. | Select grading | * ***Is the plan realistic and locally-relevant?*** * ***Are activities implemented as per the plan?*** * ***Does the farmer/ farm manager have a good understanding of biodiversity and actions that can improve it?*** |
| **Core**  **4.1.3** Biodiversity resources are identified and mapped. | Select grading |  |
| **Core**  **4.1.4** Degraded areas on the farm are identified. | Select grading | * ***How were degraded areas identified?*** * ***Does the farmer/ farm manager have a good understanding of this concept as defined by BCI?*** * ***Does evidence from farmer interviews or site visits suggest there may be additional degraded areas present?*** |
| **Core**  **4.1.5** Measures to restore degraded areas are implemented as per the Biodiversity Management Plan. | Select grading |  |
| **Core**  **4.1.6** Measures are implemented to protect water courses and wetlands in and adjacent to the farm, including maintaining and/or restoring appropriate riparian and other buffer zones, as per the Biodiversity Management Plan. | Select grading |  |
| **Core**  **4.2.1** In case of any proposed conversion from non-agricultural land to agricultural land, the BCI High Conservation Value (HCV) risk-based simplified approach must be implemented | Select grading |  |

# P5: Fibre Quality

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core**  **5.1.1** Good management practices for the harvest and storage of seed cotton are adopted. | Select grading |  |

# P6: Decent Work

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core**  **6.1.1** There are no workers below the age of 15 (14 in certain specified countries), or below the minimum age for employment defined by local law (whichever is higher). | Select grading |  |
| **Core**  **6.1.4** A written child labour policy, specifying under which circumstances and for which tasks children can or cannot work or be employed and why, has been communicated to farmers/workers/employees. | Select grading |  |
| **Core**  **6.2.1** Hazardous work is not conducted by workers under 18. | Select grading |  |
| **Core**  **6.3.1** All forms of forced or compulsory, including bonded or trafficked labour, are prohibited. | Select grading | ***Questions to ask Farm Managers:***   1. ***How do you recruit seasonal or temporary workers?*** 2. ***Do you use labour brokers? If yes, do you check if they have a legal permit to work as labour brokers? Do you have an agreement with them?*** 3. ***How are workers paid – directly or through a third party (e.g. labour broker)?*** 4. ***Do you keep workers’ ID originals or copies?***   ***Summary of information gathered from questions to Farm Manager:***    ***Questions to ask Workers:***   1. ***How did you get this job? Did you have to pay anyone?*** 2. ***Did you receive a payment advance or loan from labour broker or farmer?*** 3. ***Please, describe your average working day.*** 4. ***How frequently are you paid?*** 5. ***How long will you work on this job/farm?***   ***Summary of information gathered from questions to workers:***    ***Other general observations and summary comments:*** |
| **Core**  **6.4.1** All forms of discrimination are prohibited. | Select grading |  |
| **Core**  **6.4.2** A system is in place to detect and remediate any incident of discrimination on the basis of age, gender, ethnicity, nationality, social origin, religion, membership of a trade union or other workers’ organisation, or any other characteristics that are not related to merit or the inherent requirements of the job. | Select grading |  |
| **Core**  **6.5.1** Equal wages are paid to workers who perform the same job, irrespective of gender. | Select grading |  |
| **Core**  **6.11.1** Workers have the right to establish or join organisations of their own choosing. | Select grading |  |
| **Core**  **6.11.2** There is no evidence of interference with the establishment and growth of workers’ organisations or their activities. | Select grading |  |
| **Core**  **6.11.3** There is no interference with the right of workers to bargain collectively. | Select grading |  |
| **Core**  **6.13.1** The Producer is aware of the legally applicable minimum wage/s (statutory national or regional minimum wage applicable to agriculture, collectively agreed wage, industry minimum). | Select grading |  |
| **Core**  **6.19.1** Use of corporal punishment, mental or physical coercion, sexual harassment or physical or verbal abuse or harassment of any kind, is prohibited. | Select grading | ***Questions to ask Farm Managers:***   1. ***Have you received any complaints from workers about working conditions?***   ***Summary of information gathered from questions to Farm Manager:***    ***Questions to ask workers:***   1. ***How can you raise complaints if there is an issue with the farmer or the person who helped you get this job (e.g. labour broker)?***   ***Summary of information gathered from questions to workers:***    ***Other general observations and summary comments:*** |
| **Core**  **6.20.1** A policy and system for disciplinary measures is available and communicated to workers. | Select grading |  |
| **Core**  **6.20.2** Any disciplinary actions are proportionate to the conduct in question and the system in place include fair warning principles. | Select grading |  |

# P7: Management System

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core**  **7.1.1** A Continuous Improvement Plan is available, implemented and monitored according to the applicable BCI Continuous Improvement planning process, and reviewed annually. | Select grading |  |
| **Core**  **7.2.1\*** A training plan identifying the key sustainability issues to be addressed for the Producer, the name of training provider(s), scheduling and expected participants is available and implemented.  *[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | Select grading |  |
| **Core**  **7.2.2\*** Training materials for Better Cotton Initiative farmers and workers are available to cover Better Cotton Initiative Principles and Criteria Core Indicators, with a focus on key sustainability issues in the local context. Best practices (validated locally) related to production are shared with Better Cotton Initiative farmers through appropriate dissemination material in local language.  *[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | Select grading |  |
| **Core**  **7.2.3\*** The Producer reports annual data on number of Better Cotton Initiative farmers and workers trained by gender and topic to demonstrate the implementation of the training plan.  *[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | Select grading |  |
| **Core**  **7.2.4\*** The Producer operates a system to:  (i) Assess and document the level of adoption of practices promoted through training;   (ii) Identify and address the risks associated with adopting the practices promoted through training;  (iii) Evaluate the training materials continuously to improve their content and delivery.  *[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | Select grading |  |
| **Core**  **7.3.2** The Producer maintains a farm-level record keeping mechanism (e.g. Famer Field Book) for essential production data on inputs and outputs in an accurate manner. | Select grading |  |
| **Core**  **7.3.3** The Producer operates a system to collect, compile and report complete and accurate Results Indicator data in accordance with the Results Indicator Reporting template. | Select grading |  |
| **Core**  **7.3.4** The Producer creates and maintains a profile of the farm labour force, including estimates of numbers of workers, as per the Better Cotton Initiative defined worker categories and disaggregated by gender. The labour profile is updated annually, at the latest at the end of sowing. | Select grading |  |
| **Core**  **7.3.6** The Producer maintains receipts of sales of Better Cotton, including the buyer name, date, and volume, for at least one year. The LF Manager is able to collect and submit these sale records to BCI upon request. | Select grading |  |
| **Core**  **7.4.1** The Producer operates a system to:  (i) Identify and address the risks of non-conformity with core indicators;  (ii) Plan and enforce the implementation of Corrective Actions resulting from monitoring activities. | Select grading |  |

# List of workers interviewed

Please provide details of workers interviewed – if no worker interviews were carried out, please explain why. Note that worker names can be excluded if necessary due to confidentiality; in this case please provide a description of the worker’s role instead.

If no worker interviews were carried out please explain why:

Worker role/ responsibilities       Location of interview       Topics covered/ Comments

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Worker role/ responsibilities       Location of interview       Topics covered/ Comments

Worker role/ responsibilities       Location of interview       Topics covered/ Comments

# **Women interviewed**

|  |  |
| --- | --- |
| Role | Number |
| Farmer |  |
| Workers |  |