

# Results Indicator Reporting Requirements 2020-21 Season: COVID-19 Adaptation

2 June 2020

This document explains revisions to the BCI Results Indicator (RI) Reporting requirements for the 2020-21 season in response to the COVID-19 pandemic. These requirements are in force for the duration of the season.

RI data record-keeping and reporting is still a requirement to maintain an active licence for all Producers. Due to Covid-19, however, known and anticipated challenges will face the chain of actors involved in recording farm level information, collecting data and reporting it to BCI, and BCI's cleaning and analysis of that data.

Adjustments to save Implementing Partner staff capacity and budgets related to Results Indicator (RI) reporting for the 2020-21 season include: **1) reducing sample size, 2) removing the requirement to report comparison farm data in most countries, 3) reporting fewer data elements and 4) communicating the smallholder farmer sample early in the season.** The details are further explained below.

If additional time is needed due to COVID-19 related restrictions, IPs or LFs can make a request under the general COVID-19 Plan process. For Producer Units, extensions will be granted at *Project level* via the COVID-19 Plan.

## Guiding Principles

1. It is an **IP's duty of care to ensure that the health and well-being of field staff, farmers and workers is not put at risk or compromised.** Where field visits are limited or not possible due to COVID-19 travel/movement restrictions for data collection, please outline in the BCI Assurance COVID-19 Plan – the IP can also identify alternative approaches to data collection where appropriate in this document.
2. BCI will endeavour – to the degree possible – to ensure that COVID-19 related restrictions do not unfairly affect the status of existing licences and the ability of existing Producers to become re-licensed.

## RI Reporting Adjustments for 2020-21

### 1. Reduced sample size for smallholder Producer Units (PUs)<sup>1</sup>

#### a. For existing<sup>2</sup> average-sized PUs (between 3,000-4000 farmers):

- i. BCI randomly selects 10 LGs from each PU.
- ii. Within each randomly selected LG, BCI randomly selects 9 BCI Farmers, plus the Lead Farmer.

<sup>1</sup> NB: there is no adjustment to RI reporting requirements for medium farm (MF) PUs.

<sup>2</sup> Includes all smallholder PUs who have previously been licensed, have an active license, or PUs that have completed the set-up phase and are planning on being assessed for licensing in the upcoming season

- iii. Each PU Manager informs the selected LGs and farmers that RI data is to be collected.
- iv. The selected LGs each collect data from the 10 selected farmers in their groups and submit compiled reports to the PU Manager.
- v. The remaining LGs submit RI data for the Lead Farmer only in their groups.

**b. For existing smaller PUs (less than 3,000 farmers):**

- i. BCI will determine the number of LGs in the sample size<sup>3</sup> and communicate this to the PU Manager.
- ii. Within each randomly selected LG, BCI randomly selects 9 BCI Farmers and 1 Lead Farmer until the sample size requirement (determined above) is met.
- iii. Each PU Manager informs the selected LGs and farmers that RI data is to be collected.
- iv. The selected LGs each collect data from the 10 selected farmers in their groups and submit compiled reports to the PU Manager.
- v. The remaining LGs submit RI data for the Lead Farmer only in their groups.

**c. For new PUs in a set-up phase<sup>4</sup>:**

- i. Smallholder PU selects 5 LGs at the beginning of the season and each LG from those 5 LGs reports RI data for 19 BCI Farmers and 1 Lead Farmer
- ii. Medium farm PU selects 10% of farmers at the beginning of the season and this 10% will report RI data.

## 2. Comparison farmer data requirement removed for most countries

**a. For all Producer Units and Large Farms:**

- i. No Comparison Farmer data is reported for Producers in countries participating in BCI since 2016-17 season or earlier<sup>5</sup> or
- ii. For Producers in countries that joined BCI in 2017-18 or later, Comparison Farmer RI data is to be reported.<sup>6</sup>

## 3. Fewer data elements to report

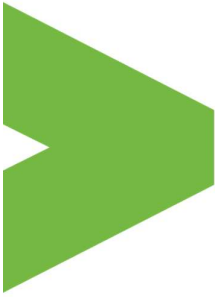
- a. **Awareness of child labour issues.** Farmer interviews do not need to be conducted and the reporting requirement in the template is removed.
- b. **Aggregated costs and income.** Report one total amount of costs and 1 total amount of income rather than up to 13 different cost categories and 3 different income categories.
- c. **Month and year of PU formation & license status.** This identification information is reported to BCI elsewhere. The reporting requirement in the template is removed.

<sup>3</sup> The sample of LGs is determined using a calculation to ensure a level of confidence equivalent to that of the average-sized PU sample.

<sup>4</sup> This refers to new groups of farmers who are organised to participate with BCI for the first time; PUs in the set-up phase are not being assessed for licensing in the upcoming season

<sup>5</sup> Includes countries: Australia, Brazil, China, CmiA countries, India, Israel, Kazakhstan, Madagascar, Mali, Mozambique, Pakistan, South Africa, Tajikistan, Turkey, United States

<sup>6</sup> Includes countries: Egypt, Greece

- 
- d. **Area cultivated.** This information is no longer required. The reporting requirement in the template is removed.

#### 4. Communicating the smallholder farmer sample early in the season

- a. **Samples of farmers to report Results Indicators to be communicated early in the season, within 2 weeks after receipt of farmer lists.**
  - i. For existing smallholder PUs in China with already submitted farmer lists, the sample for RI reporting will be communicated by 19 June.
  - ii. For existing smallholder PUs in Pakistan, Tajikistan, and Kazakhstan with already submitted farmer lists, the sample will be communicated by 30 June.