BCI External Assessment Reporting Template – IP version

For use with v2.0 of the Better Cotton P&C

Large Farms

# Introduction

This reporting template is required for each IP 2PCC carried out on Large Farms under the BCI Group Assurance Large Farm model (applicable only in the US for season 2018/19). BCI has developed an optional External Assessment Field Checklist which may also be used to help guide the 2PCC process and record notes in the field.

Types of Indicators

This reporting template covers all **Core Indicators** from the Better Cotton P&C v2.0, organized under the 7 Principles. Please note that some indicators are designated as ‘***Core – Transition’*** – these are new Core Indicators that have a delayed implementation time and are not mandatory until 1 March 2019. This means that during External Assessment for 2018-19, assessors will note whether the farm is already meeting these indicators. However, no non-conformities can be given for non-compliance on ‘Core-Transition’ indicators this season. In a few cases (e.g. Indicator 2.1.1 on Water Stewardship) there is an equivalent indicator from v1.0 of the P&C which will continue to be relevant for the 2018/19 season (during the transition period). These are marked as ***‘Current relevant v1.0 indicators.’***

Advice on navigating this document

Please turn on the ‘Navigation Pane’ (under ‘View’ menu) to allow easy referencing of Indicators under each Principle

# Summary Information

**NOTE: Once you have completed the entire form, please save as a PDF using the 2PCC reference number as the file name.**

## 1. General Information about the IP Second-party credibility check (2PCC)

2PCC Reference Number

*(Format: LF code\_IP2PCC\_Month-Year of the visit, e.g.* ***USCA01\_IP2PCC\_10-2018****)*

LF Code:

Implementing Partner

Verification Conducted by: Name:       Role (i.e. Group Manager):

 Agency/Institution:       Telephone:       Email:

 Name(s)/ Role(s) of any additional individuals accompanying on the 2PCC:

2PCC Date:       Country:       State:       GPS Coordinates of Farm:

Name of Farmer(s) visited:

**2. Summary Outcome of the Visit**

Is the Large Farm **compliant with** **all Core Indicators** ? [ ]  Yes [ ]  No

(Answer **‘No’** if systemic non-conformity was observed)

Indicators with systemic non-conformity, and comments (if any):

Has the Farm submitted BCI Results Indicators for the previous season? [ ]  Yes [ ]  No [ ]  N/A (new farm)

Comments:

# P1: Crop Protection

| **Indicator No.** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core****1.1.2** An Integrated Pest Management Programme is implemented that includes all the following components: (i) growing of a healthy crop;(ii) preventing the build-up of pest populations and of the spread of disease; (iii) preserving and enhancing populations of beneficial organisms; (iv) regular field observations of the crop’s health and key pest and beneficial insects; (v) managing resistance. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.1.4** There is no calendar or random spraying. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.2.1** All pesticides used are registered nationally for the use on cotton.  | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.2.2** All pesticides used are correctly labelled in at least one *de facto* or *de jure* official national or applicable official regional language. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Current relevant v1.0 indicator****1.3.1-C** Pesticides list in Annex A and B of the Stockholm Convention are not used. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****1.3.1** Pesticides listed in:(i) Annex A and B of the Stockholm Convention; or(ii) Annexes of the Montreal Protocol; or(iii) Annex III of the Rotterdam Convention;are not used. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core*** **1.4.1** The Producer has a plan to phase out by 2021 pesticides listed in category 1 of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS); 1a of the World Health Organization classification (WHO). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.4.2** The Producer has a plan to phase out by 2024 pesticides listed in category 2 of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS); 1b of the World Health Organization classification (WHO). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.5.1** The Producer has a plan to phase out Pesticides defined as carcinogenic, mutagenic or reprotoxic (CMR) substances according to Categories Ia and Ib of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.6.1** The Producer must ensure that any person who prepares and applies pesticides is:(i) Healthy;(ii) Skilled and trained in the application of pesticides;(iii) 18 or older;(iv) not pregnant or nursing. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.7.1** Pesticides are prepared and applied by persons who correctly use appropriate protective and safety equipment. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.7.3** Pesticide labels are checked regularly (at least every spray season) to ensure that the appropriate Personal Protective Equipment is available for the pesticides being used. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.7.4** Training on safe work procedures and the maintenance, use and proper storage of Personal Protective Equipment has been delivered to all staff who work with pesticides. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.8.1** Dedicated areas must be available on the farm for storing, mixing and handling pesticides, and for cleaning pesticide containers and application equipment. The areas must fully comply with relevant legislation for the storage, handing and disposal of pesticides. Within these areas, all rinsate and run-off must be completely captured so that it poses no contamination risk. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |

# P2: Water Stewardship

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| ***Current relevant v1.0 indicator*****2.1.1-C** Water management practices are adopted that optimise water use (applicable to both rain fed and irrigated cotton). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****2.1.1** A time-bound Water Stewardship Plan is defined that addresses each of the following components:(i) Mapping and understanding of water resources;(ii) Managing soil moisture;(iii) Applying efficient irrigation practices to optimise water productivity (applicable to irrigation farms only);(iv) Managing water quality;(v) Engaging in collaboration and collective action to promote sustainable water use. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.3** Water resources are identified, mapped and understood. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.4** Soil moisture management practices to reduce soil water evaporation are implemented, as per the Water Stewardship Plan*.* | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.5** Irrigation methods and technologies are implemented towards irrigation efficiency as per the Water Stewardship Plan (applicable to irrigated farms only). | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.6** Irrigation timing is planned towards maximisation of water productivity (applicable to irrigated farms only). | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.7** Irrigation is not conducted on a rigid predetermined calendar schedule (applicable to irrigated farms only). | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.8** Risk to water quality is considered when managing and applying nutrients and pesticides as per the Water Stewardship Plan. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.9** Opportunities for collaboration and collective actions (beyond the Producer’s unit of production) to achieve sustainable water use are identified.  | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.10** By March 2022, collaboration and collective actions (beyond the Producer’s unit of production) towards local sustainable use of water are implemented as per opportunities identified in the Water Management Plan. | [ ]  Compliant[ ]  Non-compliant |       |

# P3: Soil Management

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| ***Core - TRANSITION* 3.1.1** A time-bound soil management plan is defined that addresses each of the following components: (i) Identifying and analysing soil type; (ii) Maintaining and enhancing soil structure;(iii) Maintaining and enhancing soil fertility; (iv) Continuously improving nutrient cycling. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core –TRANSITION*3.1.5** Soil testing that includes NPK and pH analysis to determine the level of nutrients and acidity in the soil is conducted at least once every 5 years. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core –TRANSITION*** **3.1.6** Soil type is identified and mapped. | [ ]  Compliant[ ]  Non-compliant |       |

# P4: Biodiversity and land use

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| ***Core - TRANSITION*** **4.1.1** A time-bound Biodiversity Management Plan that addresses each of the five following components, is defined:(i) Identifying and mapping biodiversity resources;(i) Identifying and restoring degraded areas;(iii) Enhancing populations of beneficial insects, as per the Integrated Pest Management plan (Principle 1);(iv) Ensuring crop rotation;(v) Protecting riparian areas. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.3** Biodiversity resources are identified and mapped. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.4** Degraded areas on the farm are identified. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.5** Measures to restore degraded areas are implemented as per the Biodiversity Management Plan. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.6** Measures are implemented to protect water courses and wetlands in and adjacent to the farm, including maintaining and/or restoring appropriate riparian and other buffer zones, as per the Biodiversity Management Plan. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Current v1.0 Indicator*****4.2.1-C** The use and conversion of land to grow cotton conforms with national legislation related to agricultural land use. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core -TRANSITION*** **4.2.1** In case of any proposed conversion from non-agricultural land to agricultural land, the BCI High Conservation Value (HCV) risk-based simplified approach must be implemented | [ ]  Compliant[ ]  Non-compliant |       |

# P5: Fibre Quality

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core****5.1.1** Good management practices for the harvest and storage of seed cotton are adopted. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |

# P6: Decent Work

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core****6.1.1** There are no workers below the age of 15 (14 in certain specified countries), or below the minimum age for employment defined by local law (whichever is higher). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****6.1.4** A written child labour policy, specifying under which circumstances and for which tasks children can or cannot work or be employed and why, has been communicated to farmers/workers/employees. | [ ]  Compliant[ ]  Non-compliant |       |
| **Core** **6.2.1** Hazardous work is not conducted by workers under 18. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.3.1** All forms of forced or compulsory, including bonded or trafficked labour, are prohibited. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.4.1** All forms of discrimination are prohibited. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.4.2** A system is in place to detect and remediate any incident of discrimination on the basis of age, gender, ethnicity, nationality, social origin, religion, membership of a trade union or other workers’ organisation, or any other characteristics that are not related to merit or the inherent requirements of the job. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.5.1** Equal wages are paid to workers who perform the same job, irrespective of gender. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.11.1** Workers have the right to establish or join organisations of their own choosing. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.11.2** There is no evidence of interference with the establishment and growth of workers’ organisations or their activities. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.11.3** There is no interference with the right of workers to bargain collectively. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.13.1** The Producer is aware of the legally applicable minimum wage/s (statutory national or regional minimum wage applicable to agriculture, collectively agreed wage, industry minimum). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.19.1** Use of corporal punishment, mental or physical coercion, sexual harassment or physical or verbal abuse or harassment of any kind, is prohibited. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.20.1** A policy and system for disciplinary measures is available and communicated to workers. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.20.2** Any disciplinary actions are proportionate to the conduct in question and the system in place include fair warning principles. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |

# P7: Management System

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core****7.1.1** A Continuous Improvement Plan is available, implemented and monitored according to the applicable BCI Continuous Improvement planning process, and reviewed annually. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****7.2.1\*** A training plan identifying the key sustainability issues to be addressed for the Producer, the name of training provider(s), scheduling and expected participants is available and implemented.*[Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A – fewer than 50 workers |       |
| **Core****7.2.2\*** Training materials for Better Cotton Initiative farmers and workers are available to cover Better Cotton Initiative Principles and Criteria Core Indicators, with a focus on key sustainability issues in the local context. Best practices (validated locally) related to production are shared with Better Cotton Initiative farmers through appropriate dissemination material in local language.*[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A – fewer than 50 workers |       |
| **Core****7.2.3\*** The Producer reports annual data on number of Better Cotton Initiative farmers and workers trained by gender/topic/methodology to demonstrate the implementation of the training plan.*[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A – fewer than 50 workers |       |
| **Core****7.2.4\*** The Producer operates a system to: (i) Assess and document the level of adoption of practices promoted through training;  (ii) Identify and address the risks associated with adopting the practices promoted through training; (iii) Evaluate the training materials continuously to improve their content and delivery.*[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A – fewer than 50 workers |       |
| **Core****7.3.2** The Producer maintains a farm-level record keeping mechanism (e.g. Famer Field Book) for essential production data on inputs and outputs in an accurate manner. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****7.3.3** The Producer operates a system to collect, compile and report complete and accurate Results Indicator data in accordance with the Results Indicator Reporting template. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****7.3.4** The Producer creates and maintains a profile of the farm labour force, including estimates of numbers of workers, as per the Better Cotton Initiative defined worker categories and disaggregated by gender. The labour profile is updated annually, at the latest at the end of sowing.*[Note: for 2018/19 season this labour profile does not need to include specific BCI worker categories]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****7.3.6** The Producer maintains receipts of sales of Better Cotton, including the buyer name, date, and volume, for at least one year. The LF Manager is able to collect and submit these sale records to BCI upon request. | [ ]  Compliant[ ]  Non-compliant |       |
| **Core****7.4.1** The Producer operates a system to: (i) Identify and address the risks of non-conformity with core indicators; (ii) Plan and enforce the implementation of Corrective Actions resulting from monitoring activities. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |

# List of workers interviewed

Please provide details of workers interviewed – if no worker interviews were carried out, please explain why. Note that worker names can be excluded if necessary due to confidentiality; in this case please provide a description of the worker’s role instead.

If no worker interviews were carried out, please explain why:

Worker name or role       Location of interview       Topics covered/ Comments

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