BCI External Assessment Reporting Template – 3PV version

For with v2.0 of the Better Cotton P&C

Large Farms

# Introduction

This reporting template is required for each third party verification (3PV) visit carried out on Large Farms. BCI has developed an optional External Assessment Field Checklist which may also be used to help guide the 3PV process and record notes in the field.

## Types of Indicators

This reporting template covers all **Core and Improvement Indicators** from the Better Cotton P&C v2.0, organized under the 7 Principles. Please note that some indicators are designated as ‘***Core – Transition’*** – these are new Core Indicators that have a delayed implementation time and are not mandatory until 1 March 2019. This means that during External Assessment for 2018-19, assessors will note whether the farm is already meeting these indicators. However, no non-conformities can be given for non-compliance on ‘Core – Transition’ indicators this season. In a few cases (e.g. Indicator 2.1.1 on Water Stewardship) there is an equivalent indicator from v1.0 of the P&C which will continue to be relevant for the 2018/19 season (during the transition period). These are marked as ***‘Current relevant v1.0 indicators.’***

## Advice on navigating this document

Please turn on the ‘Navigation Pane’ (under ‘View’ menu) to allow easy referencing of Indicators under each Principle.

# Summary Information

**NOTE: Once you have completed the entire form, please save as a PDF using the 3PV reference number as the file name.**

## 1. General Information about the 3rd Party Verification

3PV Reference Number

*(Format: LF code\_3PV\_Month-Year of the visit; e.g.* ***USCA01\_3PV\_11-2018****)*

LF Code:

Implementing Partner (if applicable):

Verification Conducted by: Lead verifier:       Additional verifier(s):

 Agency/Institution:       Telephone:       Email:

Verification Date:       Country:       State:       GPS Coordinates of Farm:

Name of Farmer(s) visited:

If any advice or consultancy support was provided to the Farmer during the assessment, please note it here:

**2. Summary Outcome of the Visit**

Is the Large Farm **compliant with** **all Core Indicators** ? [ ]  Yes [ ]  No

(Answer **‘No’** if systemic non-conformity was observed)

Indicators with systemic non-conformity, and comments (if any):

Has the Farm submitted BCI Results Indicators for the previous season? [ ]  Yes [ ]  No [ ]  N/A (new Farm)

Comments:

**Improvement Indicators**

Number of Improvement Indicators validated:

Does the farm have 50 or more workers? (including permanent, seasonal, migrant, sub-contracted) [ ]  Yes [ ]  No

Percent of validated indicators      %

*Note this percentage is calculated out of a total of* ***53*** *Improvement Indicators for LFs with 50 or more workers, or out of* ***50*** *Indicators for LFs with fewer than 50 workers\*):*

Performance band / license duration (for individually licensed LFs only): [ ]  Pass-1 year [ ]  Advanced- 3 years [ ]  Masters- 5 years

Any additional comments:

*For individually licensed farms, if the percentage of Improvement Indicators validated is = or > 80%, the verifier shall validate the license duration rendered automatically from the self-assessment results. If the % of validated themes is < 80%, the performance band should be ‘Pass’ and the LF is only eligible for a 1 year licence.*

**3. Information collected from external sources**

Please detail contact information for individuals/organizations consulted, and information gathered that relates to the Better Cotton Principles and Criteria and/or farmers that have poor or good practices.

Source 1 Name:       Contact Info:       Info gathered:

Source 2 Name:       Contact Info:       Info gathered:

Source 3 Name:       Contact Info:       Info gathered:

# P1: Crop Protection

| **Indicator No.** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core****1.1.2** An Integrated Pest Management Programme is implemented that includes all the following components: (i) growing of a healthy crop;(ii) preventing the build-up of pest populations and of the spread of disease; (iii) preserving and enhancing populations of beneficial organisms; (iv) regular field observations of the crop’s health and key pest and beneficial insects; (v) managing resistance. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.1.4** There is no calendar or random spraying. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.2.1** All pesticides used are registered nationally for the use on cotton.  | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.2.2** All pesticides used are correctly labelled in at least one *de facto* or *de jure* official national or applicable official regional language. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****1.2.3** All natural substances used are registered under the local/national BCI natural substance database.*[Note – for the 2018/19 season this indicator is not scored on Self-Assessment since the BCI natural substances database has not yet been developed]* | *Response validated?*[ ]  Yes[ ]  No |       |
| **Current relevant v1.0 indicator****1.3.1-C** Pesticides list in Annex A and B of the Stockholm Convention are not used. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****1.3.1** Pesticides listed in:(i) Annex A and B of the Stockholm Convention; or(ii) Annexes of the Montreal Protocol; or(iii) Annex III of the Rotterdam Convention;are not used. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core*** **1.4.1** The Producer has a plan to phase out by 2021 pesticides listed in category 1 of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS); 1a of the World Health Organization classification (WHO). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.4.2** The Producer has a plan to phase out by 2024 pesticides listed in category 2 of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS); 1b of the World Health Organization classification (WHO). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****1.4.5** The Producer has phased out Globally Harmonized System (GHS) category 1 / World Health Organisation (WHO) Class Ia. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****1.4.6** The Producer has phased out Globally Harmonized System (GHS) category 2 / World Health Organisation (WHO) Class Ib. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****1.5.1** The Producer has a plan to phase out Pesticides defined as carcinogenic, mutagenic or reprotoxic (CMR) substances according to Categories 1a and 1b of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****1.5.3** The Producer has phased out pesticides defined as carcinogens, mutagens or reproductive toxicants according to Categories Ia and Ib of the Globally Harmonized System of Classification and Labelling of Chemicals (GHS). | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****1.6.1** The Producer must ensure that any person who prepares and applies pesticides is:(i) Healthy;(ii) Skilled and trained in the application of pesticides;(iii) 18 or older;(iv) not pregnant or nursing. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.7.1** Pesticides are prepared and applied by persons who correctly use appropriate protective and safety equipment. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.7.3** Pesticide labels are checked regularly (at least every spray season) to ensure that the appropriate Personal Protective Equipment is available for the pesticides being used. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****1.7.4** Training on safe work procedures and the maintenance, use and proper storage of Personal Protective Equipment has been delivered to all staff who work with pesticides. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****1.7.6** Frequency at which Personal Protective Equipment is checked for wear and tear, and replaced if required. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****1.7.7** Frequency at which refresher training on safe work procedures and the maintenance, use and proper storage of Personal Protective Equipment is provided. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****1.8.1** Dedicated areas must be available on the farm for storing, mixing and handling pesticides, and for cleaning pesticide containers and application equipment. The areas must fully comply with relevant legislation for the storage, handing and disposal of pesticides. Within these areas, all rinsate and run-off must be completely captured so that it poses no contamination risk. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****1.9.1** A formal plan for conducting pesticide application that details, at a minimum, that the following is in place on the farm: (i) Instructions to comply with the requirements detailed on the label. (ii) The relevant weather conditions under which applications will and will not be undertaken for each field (including wind direction and taking into account the pesticide being applied), spray parameters (e.g. speed, pressure, nozzle size, spray volume, boom height etc.), and all relevant sensitive areas, which are clearly identified on a farm map. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****1.9.3** Weather monitoring equipment is used to monitor temperature, wind speed and direction and humidity prior to and during the application, with readings recorded. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****1.9.4** Procedures for ensuring that workers are aware of and observe re-entry periods for any treated areas are in place. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****1.10.2** Proportion of pesticides containers that are triple-rinsed, with the rinsate added to the spray tank, or disposed of safely. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****1.10.3** Proportion of pesticide containers that are recycled. | *Response validated?*[ ]  Yes[ ]  No |       |

# P2: Water Stewardship

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| ***Current relevant v1.0 indicator*****2.1.1-C** Water management practices are adopted that optimise water use (applicable to both rain fed and irrigated cotton). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****2.1.1** A time-bound Water Stewardship Plan is defined that addresses each of the following components:(i) Mapping and understanding of water resources;(ii) Managing soil moisture;(iii) Applying efficient irrigation practices to optimise water productivity (applicable to irrigation farms only);(iv) Managing water quality;(v) Engaging in collaboration and collective action to promote sustainable water use. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.3** Water resources are identified, mapped and understood. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.4** Soil moisture management practices to reduce soil water evaporation are implemented, as per the Water Stewardship Plan*.* | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.5** Irrigation methods and technologies are implemented towards irrigation efficiency as per the Water Stewardship Plan (applicable to irrigated farms only). | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.6** Irrigation timing is planned towards maximisation of water productivity (applicable to irrigated farms only). | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.7** Irrigation is not conducted on a rigid predetermined calendar schedule (applicable to irrigated farms only). | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.8** Risk to water quality is considered when managing and applying nutrients and pesticides as per the Water Stewardship Plan. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.9** Opportunities for collaboration and collective actions (beyond the Producer’s unit of production) to achieve sustainable water use are identified.  | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*****2.1.10** By March 2022, collaboration and collective actions (beyond the Producer’s unit of production) towards local sustainable use of water are implemented as per opportunities identified in the Water Management Plan. | [ ]  Compliant[ ]  Non-compliant |       |

# P3: Soil Management

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| ***Core - TRANSITION* 3.1.1** A time-bound soil management plan is defined that addresses each of the following components: (i) Identifying and analysing soil type; (ii) Maintaining and enhancing soil structure;(iii) Maintaining and enhancing soil fertility; (iv) Continuously improving nutrient cycling. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core –TRANSITION*3.1.5** Soil testing that includes NPK and pH analysis to determine the level of nutrients and acidity in the soil is conducted at least once every 5 years. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core –TRANSITION*** **3.1.6** Soil type is identified and mapped. | [ ]  Compliant[ ]  Non-compliant |       |
| **Improvement****3.1.7** Soil organic matter levels are monitored based on the need to enhance soil structure | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.9** Tillage methods are conducted in a way that reduces soil compaction and damage to soil structure. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.10** Nutrient are applied based on soil test results. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.12** Crop diversity, such as crop rotation, is used for the purpose of regenerating soil. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.13** A nutrition budget that considers all nutrient sources and crop exports of nutrients is developed. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.14** Soil tests and leaf tests to assess nutrient levels and fertiliser needs during the growing season are used. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.15** Fertilisers are applied using precision agriculture technologies. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****3.1.16** Long-term nutrition trends are monitored. | *Response validated?*[ ]  Yes[ ]  No |       |

# P4: Biodiversity and land use

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| ***Core - TRANSITION*** **4.1.1** A time-bound Biodiversity Management Plan that addresses each of the five following components, is defined:(i) Identifying and mapping biodiversity resources;(i) Identifying and restoring degraded areas;(iii) Enhancing populations of beneficial insects, as per the Integrated Pest Management plan (Principle 1);(iv) Ensuring crop rotation;(v) Protecting riparian areas. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.3** Biodiversity resources are identified and mapped. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.4** Degraded areas on the farm are identified. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.5** Measures to restore degraded areas are implemented as per the Biodiversity Management Plan. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.6** Measures are implemented to protect water courses and wetlands in and adjacent to the farm, including maintaining and/or restoring appropriate riparian and other buffer zones, as per the Biodiversity Management Plan. | [ ]  Compliant[ ]  Non-compliant |       |
| ***Core - TRANSITION*** **4.1.9** Where unfarmed or grazed land is present on the farm, regular biodiversity surveys (covering wildlife and plant-life abundance and condition) are conducted. | *Response validated?*[ ]  Yes[ ]  No |       |
| ***Current v1.0 Indicator*****4.2.1-C** The use and conversion of land to grow cotton conforms with national legislation related to agricultural land use. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core -TRANSITION*** **4.2.1** In case of any proposed conversion from non-agricultural land to agricultural land, the BCI High Conservation Value (HCV) risk-based simplified approach must be implemented | [ ]  Compliant[ ]  Non-compliant |       |
| **Improvement****4.2.2** Where High Conservation Values are identified, a management and monitoring plan is established to maintain those values. | *Response validated?*[ ]  Yes[ ]  No |       |

# P5: Fibre Quality

| **Indicator** | **Grading** | **Specific Evidence/ Comments** |
| --- | --- | --- |
| **Core****5.1.1** Good management practices for the harvest and storage of seed cotton are adopted. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****5.1.2** No polypropylene, polyethylene or any synthetic bags are used during the harvesting of cotton by hand, nor during storage and transportation. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****5.2.3** A plan for managing fibre quality that includes the following elements - varietal selection, planting date, planting rate, row spacing, crop growth and weed management - is developed. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****5.2.4** Overall results for the quality of the crop at the end of the last season is reviewed. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****5.2.5** When fibre quality problems are identified, an attempt to understand the reasons for the problems (e.g. by discussing these with other relevant people such as consultants, agronomists, researchers and merchants) is undertaken, and actions to remedy the problems are implemented. | *Response validated?*[ ]  Yes[ ]  No |       |

# P6: Decent Work

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core****6.1.1** There are no workers below the age of 15 (14 in certain specified countries), or below the minimum age for employment defined by local law (whichever is higher). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****6.1.4** A written child labour policy, specifying under which circumstances and for which tasks children can or cannot work or be employed and why, has been communicated to farmers/workers/employees. | [ ]  Compliant[ ]  Non-compliant |       |
| **Core** **6.2.1** Hazardous work is not conducted by workers under 18. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.3.1** All forms of forced or compulsory, including bonded or trafficked labour, are prohibited. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.4.1** All forms of discrimination are prohibited. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.4.2** A system is in place to detect and remediate any incident of discrimination on the basis of age, gender, ethnicity, nationality, social origin, religion, membership of a trade union or other workers’ organisation, or any other characteristics that are not related to merit or the inherent requirements of the job. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****6.4.4** A written code of conduct or non-discrimination policy is communicated to farmers/workers/employees. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****6.5.1** Equal wages are paid to workers who perform the same job, irrespective of gender. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****6.6.1** Potable and washing water facilities are placed within reasonable proximity to the workplace and accessible to all. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.6.2** All workers have access to adequate sanitation facilities. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.7.1** All workers have access to adequate rest areas / food consumption facilities. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.7.3** All workers have access to adequate medical care facilities or farm provides or subsidizes health insurance above any compulsory state provisions. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.8.2** A written occupational health and safety policy is available at the farm and has been communicated to workers. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.8.3** A formal staff induction and training program for new employees that covers all relevant workplace health and safety requirements is conducted. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.9.2** A formal assessment of all potential workplace hazards, involving workers, has been conducted, and has led to the establishment of safe work practice procedures for all hazards. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.9.3** Records of any accidents and occupational illnesses are maintained. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.10.2** An accident and emergency procedure, including first aid kits, and access to appropriate transportation to medical facilities, is in place. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.10.3** Trained and qualified first aiders are present on the farm. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****6.11.1** Workers have the right to establish or join organisations of their own choosing. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.11.2** There is no evidence of interference with the establishment and growth of workers’ organisations or their activities. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.11.3** There is no interference with the right of workers to bargain collectively. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****6.11.5** Proportion of employees that are members of a Trade Union or other form of worker representation organisation. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.11.6** Frequency at which the Producer or a senior staff member meets with employees. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.12.2** Reasonable facilities are available to Union or worker representatives when they visit the farm. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****6.13.1** The Producer is aware of the legally applicable minimum wage/s (statutory national or regional minimum wage applicable to agriculture, collectively agreed wage, industry minimum). | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****6.13.2** The wage rate paid to workers by the Producer is equal or higher than the applicable minimum wage. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.13.3** Employees are paid more than 15% higher than the applicable minimum wage. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.13.4** Piece rate or wages adequate for workers to earn the applicable national minimum wage or regional norm (whichever is higher) are provided during normal working hours and under normal operating conditions. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.13.5** Wage records show that workers are paid regularly and on time through an appropriate method of payment. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.14.1** All workers are consulted about working conditions (including requirements relating to working hours and overtime) as part of the hiring process. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.14.2** All workers are employed with a written contract. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.15.1** Records on employment obligations are maintained on the following:(i) personnel files (for each worker)(ii) pay records(iii) working hours records(iv) workforce statistics(v) union agreements(vi) policies(vii) health & safety(viii) labour providers. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.16.1** There is a policy on the treatment of temporary, seasonal and (sub-)contracted workers. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.17.2** The Producer is aware of the minimum legal requirements and relevant collective agreements on working hours. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Improvement****6.18.2** Overtime hours are paid at a premium, in line with legal requirements. | *Response validated?*[ ]  Yes[ ]  No |       |
| **Core****6.19.1** Use of corporal punishment, mental or physical coercion, sexual harassment or physical or verbal abuse or harassment of any kind, is prohibited. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.20.1** A policy and system for disciplinary measures is available and communicated to workers. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****6.20.2** Any disciplinary actions are proportionate to the conduct in question and the system in place include fair warning principles. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Improvement****6.21.1\*** Number of alliances or partnerships established with local organisations on decent work.*[Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | *Response validated?*[ ]  Yes[ ]  No[ ]  N/A (less than 50 workers) |       |
| **Improvement****6.21.2\*** Number of outreach activities to specific groups beyond farmers (e.g. women, children, casual workers, migrant workers, local authorities, school teachers, pesticide applicators, cotton pickers, etc.).*[Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | *Response validated?*[ ]  Yes[ ]  No[ ]  N/A (less than 50 workers) |       |
| **Improvement****6.21.4\*** A specific person or group is in place to actively promote Decent Work within the community (e.g. Decent Work committees, child labour monitoring committees, local pressure group, lead farmer, etc.).*[Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | *Response validated?*[ ]  Yes[ ]  No[ ]  N/A (less than 50 workers) |       |
| **Improvement****6.22.5** The Producer is a member of a local producer organisation. | *Response validated?*[ ]  Yes[ ]  No |       |

# P7: Management System

| **Indicator** | **Grading** | **Specific Evidence / Comments** |
| --- | --- | --- |
| **Core****7.1.1** A Continuous Improvement Plan is available, implemented and monitored according to the applicable BCI Continuous Improvement planning process, and reviewed annually. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****7.2.1\*** A training plan identifying the key sustainability issues to be addressed for the Producer, the name of training provider(s), scheduling and expected participants is available and implemented.*[Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A (less than 50 workers) |       |
| **Core****7.2.2\*** Training materials for Better Cotton Initiative farmers and workers are available to cover Better Cotton Initiative Principles and Criteria Core Indicators, with a focus on key sustainability issues in the local context. Best practices (validated locally) related to production are shared with Better Cotton Initiative farmers through appropriate dissemination material in local language.*[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A (less than 50 workers) |       |
| **Core****7.2.3\*** The Producer reports annual data on number of Better Cotton Initiative farmers and workers trained by gender/topic/methodology to demonstrate the implementation of the training plan.*[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A (less than 50 workers) |       |
| **Core****7.2.4\*** The Producer operates a system to: (i) Assess and document the level of adoption of practices promoted through training;  (ii) Identify and address the risks associated with adopting the practices promoted through training; (iii) Evaluate the training materials continuously to improve their content and delivery.*[\*Applicable only if the farm has 50+ workers, including permanent, seasonal, migrant, sub-contracted]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC[ ]  N/A (less than 50 workers) |       |
| **Core****7.3.2** The Producer maintains a farm-level record keeping mechanism (e.g. Famer Field Book) for essential production data on inputs and outputs in an accurate manner. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****7.3.3** The Producer operates a system to collect, compile and report complete and accurate Results Indicator data in accordance with the Results Indicator Reporting template. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| **Core****7.3.4** The Producer creates and maintains a profile of the farm labour force, including estimates of numbers of workers, as per the Better Cotton Initiative defined worker categories and disaggregated by gender. The labour profile is updated annually, at the latest at the end of sowing.*[Note: for 2018/19 season this labour profile does not need to include specific BCI worker categories]* | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |
| ***Core - TRANSITION*****7.3.6** The Producer maintains receipts of sales of Better Cotton, including the buyer name, date, and volume, for at least one year. The LF Manager is able to collect and submit these sale records to BCI upon request. | [ ]  Compliant[ ]  Non-compliant |       |
| **Core****7.4.1** The Producer operates a system to: (i) Identify and address the risks of non-conformity with core indicators; (ii) Plan and enforce the implementation of Corrective Actions resulting from monitoring activities. | [ ]  Compliant[ ]  Compliant with observation[ ]  Systemic NC |       |

# List of workers interviewed

Please provide details of workers interviewed – if no worker interviews were carried out, please explain why. Note that worker names can be excluded if necessary due to confidentiality; in this case please provide a description of the worker’s role instead.

If no worker interviews were carried out please explain why:

Worker name or role       Location of interview       Topics covered/ Comments

Worker name or role       Location of interview       Topics covered/ Comments

Worker name or role       Location of interview       Topics covered/ Comments

Worker name or role       Location of interview       Topics covered/ Comments

Worker name or role       Location of interview       Topics covered/ Comments